

## STATE OF NEW YORK OFFICE OF THE ATTORNEY GENERAL SUBPOENA AD TESTIFICANDUM

## THE PEOPLE OF THE STATE OF NEW YORK GREETINGS

TO: State Entity Employee #2

c/o Thomas A. Capezza Capezza Hill, LLP 30 South Pearl Street Suite P-110 Albany, New York 12207

YOU ARE HEREBY COMMANDED, pursuant to Executive Law § 63(8) and New York Civil Practice Law and Rules § 2302(a), to appear and attend before the Special Deputies to the First Deputy Attorney General, on May 17, 2021 at 9:30 AM, or any agreed upon adjourned date or time, at One Liberty Plaza, 38th Floor, New York, New York 10006 to testify in connection with an investigation into allegations of and circumstances surrounding sexual harassment claims made against Governor Cuomo, or any matter that the Attorney General deems pertinent thereto.

TAKE NOTICE that the Attorney General deems the testimony commanded by this Subpoena to be relevant and material to an investigation and inquiry undertaken in the public interest.

TAKE NOTICE that the examination may be recorded by stenographic, videographic and/or audio means.

TAKE FURTHER NOTICE that Your disobedience of this Subpoena, by failing to appear and attend and testify on the date, time and place stated above or on any agreed upon adjourned date or time, <u>may subject You to penalties and other lawful punishment</u> under Executive Law § 63(8), New York Civil Practice Law and Rules § 2308 and/or other statutes.

**WITNESS, The Honorable Letitia James,** Attorney General of the State of New York, this 6th day of May, 2021.

By: /s/ Anne L. Clark By: Joon H. Kim Anne L. Clark Jennifer Kennedy Park Yannick Grant Abena Mainoo Special Deputies to the Special Deputies to the First Deputy Attorney General First Deputy Attorney General @ag.ny.gov @ag.ny.gov @ag.ny.gov @ag.ny.gov @ag.ny.gov

From: (HEALTH) < @health.ny.gov>
Sent: Wednesday, October 14, 2020 7:56 AM
To: State Entity Employee #2 (HEALTH) < @health.ny.gov>

Subject: BS

Just to let you know. I attended all senior staff meetings last week:

Another example, the medical director for the Division, was out of the office for a week and largely unreachable. No one outside of Epi was aware of this time off and resulted in the Commissioner having to cover for her in one of the legal declarations at the very last minute.

This is just pure bull\$@#. From commissioner Zucker over they, they all were aware that you're taking a much needed holiday that week. was pissed, as I told you, that they had to change the name from you to Dr. Zucker, but even they were aware. So to write this to all office staff and singling you out is just bad leadership.

New York State Department of Health

Empire State Plaza, Corning Tower Suite 2001 Albany, NY 12237

@health.ny.gov

http://www.health.ny.gov

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From: <u>.es</u> < <u>.</u>	<u>es</u> >			
Date: Wed, Oct 14, 2020 at 11:04	1 AM			
Subject: Help Needed: DOH viola	tions of CBA and APPN	1		
То:	ef.org>			
Cc: @pef.o	rg>,	@hotmail.com>,		<u>.com</u> >,
<j@gmail.com>,</j@gmail.com>		@gmail.com>,	@gmail.com>,	•
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Earwarded massage

I am writing to ask for your assistance in responding to violations to the PEF collective bargaining agreement by the DOH. You may recall that a group of DOH staff in the Division of Epidemiology have, since <u>mid-January</u>, been made to work far in excess of the 37.5 hours per week (12-15 hours per day, 6-7 days per week), which includes working evenings, weekends and holidays. Many of us are ineligible for overtime compensation. Our physical and mental health, and that of our families has suffered greatly.

Yesterday morning we learned verbally and obtained in writing that the following policies apply only to staff in the Division of Epidemiology.

- All time off requests must be pre-approved at Center leadership level after consultation with OPH. It is not clear if there are any exceptions (sick leave, personal leave, etc).
- Requests for multiple days off in a row will not be approved unless there are extreme/emergency circumstances.
- Any staff involved in the response efforts must be reachable on days off, if needed. This is not considered "standby on-call".

Further, these requirements do NOT appear to apply to others involved in the COVID response or to those in the same title and grades elsewhere in the Department.

We request a conference call with you this evening at 7pm so you can avail us of our rights under the CBA and civil service laws/regulations and the Department's responsibilities. Folks will also want to ask you questions. Some of these questions will likely include:

- Can a policy that deviates from the Department's APPM apply selectively to only a subset of employees involved in the COVID response?
- Can such policy be implemented without the Department making all staff in the same grade/title participate in the response and work the same hours?
- is there a limit to the number of hours and days that an individual can be made to work in a day? in a week/pay period? without compensation?
- Can an employee be expected to be available when on approved leave, without compensation?
- what protections are in place to prevent retribution from DOH management towards employees who choose to exercise their rights under the CBA or civil service law/regulations?

Those impacted, but represented by CSEA, are in the process of arranging a similar call with their union representatives.

We cannot be expected to work or be available 24/7/365 without compensation, under the threat of discipline/retribution, and at the expense of our physical and mental health and that of our families. More so when this new policy does not apply equally to all in the bargaining unit or Department.

It is the group's unanimous request that you do not share any of these concerns, including this email, with DOH management until the call has taken place and all have had an opportunity to have their voices heard.

Please confirm you availability for 7pm tonight. Since we cannot utilize DOH assets for union business, a PEF-supplied dial-in number would be helpful.

EXHIBIT 3



Subject: Vacation and Time Off

This is notification of vacation and time off procedure for all staff involved directly in the COVID response in the Division of Epidemiology. Please share with all applicable staff.

As a result of the ongoing pandemic, the emergency circumstances in responding to it, and with the recent uptick in COVID cases, we need to have a more effective schedule in place for allowing staff down time while meeting all of the needs of the Department and the COVID emergency response. The following bullets summarize the protocol regarding vacation and time off requests and will be in place until further notice:

- 1. All vacation requests must be pre-approved at Division and Center leadership level after consultation with OPH, vacations will be allowed if approved.
- 2. Staff are expected to be working Monday through Friday. Time off on the weekends can be done through a shared coverage plan. If a staff person is expected to work on a weekend due to program needs, there may not be a schedule adjustment of a weekday off within the same payperiod.
- 3. Regular schedules should not be planned to include days off during the week, Monday through Friday. If staff need to request special circumstances of time off during the week, they should discuss with their supervisor. Regular planned days off during the week may not be approved, even if weekend work is required by program needs.
- 4. Any staff involved in COVID response efforts must be reachable on days off, if needed. Please note: This is not considered 'standby on-call'.
- 5. Coverage schedule must include a transfer of knowledge so the person covering can respond to questions and act in the lead person's place.

