STATE OF NEW YORK Office of the Attorney General
INVESTOR PROTECTION BUREAU
SUPPLEMENTAL COMMODITY SALESPERSON STATEMENT
The Supplemental Commodity Broker-Dealer Statement (CM-3) must accompany this report of
new employment

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First Name</th>
<th>Middle Name</th>
<th>Soc. Sec. #</th>
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</thead>
</table>

Residence, Street Address City State Zip

A Commodity Salesperson shall, not later than 30 days after occurrence, complete this statement
to report:

1. CHANGE OR TERMINATION OF EMPLOYMENT

   Date Termination

   Reason for Termination

Name (Former Employer)

Address

New Employer Date Commenced

Address

2. CHANGE IN NAME OR RESIDENCE

   From:_______________________

   To:_______________________

   Date:_______________________

3. Each occasion when a salesperson or any entity in which said salesperson is a principal is
   suspended or expelled from membership in any securities or commodities exchange, association
   of securities or commodities dealers or investment or commodities trading advisors or counsel; has a
   license or registration as a securities or commodity dealer, broker, investment advisor,
   salesperson, futures commission merchant, associated person, commodity pool operator or
   commodity trading advisor denied, suspended, revoked, cancelled or refused; is enjoined or
   restrained by any court or government agency from: the issuance, sale or offer for sale of
   securities or commodities, rendering securities or commodities advice or counsel, handling or
   managing trading accounts, continuing any practices in connection with securities or
   commodities; is convicted of any crime; uses or is known by any other name; is the subject or any
   professional disciplinary proceeding; is adjudged a bankrupt or makes a general assignment for
   benefit of creditors; is the subject of a receivership or bankruptcy proceedings; is an officer,
   director, principal, partner, manager, supervisor or any 10% or more equity holder of any entity
   which is reorganized in bankruptcy, adjudged a bankrupt or makes a general assignment for
   benefit of creditors; has a judgment entered against them or it which is presently unsatisfied (not
   including judgments involving domestic relations); is a party in any litigation or administrative
   proceeding in which it is alleged that they or it committed fraud or otherwise violated any
   provision of the Martin Act or any other securities or commodities laws. Supply full details and
documentation about the occurrence of any of the above.

NOTE: No fee required to cancel registration. However, re-employment as a salesperson of commodities after cancellation will require a new registration and payment of $25 filing fee.

4. [ ] CANCEL MY COMMODITY SALESPERSON REGISTRATION.

A $10.00 fee must accompany this statement. Personal checks not accepted. Attorney's check, certified check, money order only payable to the N.Y.S. Office of the Attorney General. Remit to: Investor Protection Bureau, NYS Office of the Attorney General, 28 Liberty Street, 15th Floor, New York, NY 10005.

DATED: ____________________ Signature: ______________________________

STATE OF ___________________ )
                    : SS.: 
COUNTY OF ________________ )

________________________________
being duly sworn, deposes and says that I am the ____________________ Commodity Salesperson described above and I signed the above form. I have read the questions and answers and information supplied, and they are true, accurate and complete.

________________________________
(Signature of Affiant/Salesperson)

Sworn to before me this
_______ day of ___________, 19

(Notary signature and legible official stamp)

(spe)

**Found on the fee receipt for original filing**