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AUG 29 2012

PLAINTIFFS
State of Texas, State of Connecticut, State of Ohio, et. al (see attached sheets for Additional Plaintiffs)

DEFENDANTS
Hachette Book Group, Inc; HarperCollins Publishers, LLC; Simon & Schuster, Inc; and Simon & Schuster Digital Sales, Inc.

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CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE)
(DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

15 USC §1 and 15 USC §§15c & 26. Plaintiffs allege Defendants entered illegal contracts and conspiracies in restraint of trade for e-books.

Has this or a similar case been previously filed in SDNY at any time? No Yes Judge Previously Assigned Judge Denise Cote

If yes, was this case Vol. Invol. Dismissed. No Yes If yes, give date _____ & Case No. _____

IS THIS AN INTERNATIONAL ARBITRATION CASE? No Yes

(PLACE AN [x] IN ONE BOX ONLY)

NATURE OF SUIT

TORTS		ACTIONS UNDER STATUTES		
CONTRACT	PERSONAL INJURY	PERSONAL INJURY	FORFEITURE/PENALTY	BANKRUPTCY
[] 110 INSURANCE	[] 310 AIRPLANE	[] 362 PERSONAL INJURY - MED MALPRACTICE	[] 610 AGRICULTURE	[] 422 APPEAL
[] 120 MARINE	[] 315 AIRPLANE PRODUCT LIABILITY	[] 365 PERSONAL INJURY PRODUCT LIABILITY	[] 620 OTHER FOOD & DRUG	28 USC 158
[] 130 MILLER ACT	[] 320 ASSAULT, LIBEL & SLANDER	[] 368 ASBESTOS PERSONAL INJURY PRODUCT LIABILITY	[] 625 DRUG RELATED SEIZURE OF PROPERTY	[] 423 WITHDRAWAL
[] 140 NEGOTIABLE INSTRUMENT	[] 330 FEDERAL EMPLOYERS' LIABILITY		21 USC 881	28 USC 157
[] 150 RECOVERY OF OVERPAYMENT & ENFORCEMENT OF JUDGMENT	[] 340 MARINE	PERSONAL PROPERTY	[] 630 LIQUOR LAWS	PROPERTY RIGHTS
[] 151 MEDICARE ACT	[] 345 MARINE PRODUCT LIABILITY	[] 370 OTHER FRAUD	[] 640 RR & TRUCK	[] 820 COPYRIGHTS
[] 152 RECOVERY OF DEFAULTED STUDENT LOANS (EXCL VETERANS)	[] 350 MOTOR VEHICLE	[] 371 TRUTH IN LENDING	[] 650 AIRLINE REGS	[] 830 PATENT
[] 153 RECOVERY OF OVERPAYMENT OF VETERAN'S BENEFITS	[] 355 MOTOR VEHICLE PRODUCT LIABILITY	[] 380 OTHER PERSONAL PROPERTY DAMAGE	[] 660 OCCUPATIONAL SAFETY/HEALTH	[] 840 TRADEMARK
[] 160 STOCKHOLDERS SUITS	[] 360 OTHER PERSONAL INJURY	[] 385 PROPERTY DAMAGE PRODUCT LIABILITY	[] 690 OTHER	SOCIAL SECURITY
[] 190 OTHER CONTRACT	ACTIONS UNDER STATUTES	PRISONER PETITIONS	LABOR	[] 861 HIA (1395f)
[] 195 CONTRACT PRODUCT LIABILITY	CIVIL RIGHTS	[] 510 MOTIONS TO VACATE SENTENCE 20 USC 2255	[] 710 FAIR LABOR STANDARDS ACT	[] 862 BLACK LUNG (923)
[] 196 FRANCHISE	[] 441 VOTING	[] 530 HABEAS CORPUS	[] 720 LABOR/MGMT RELATIONS	[] 863 DIWC/DIWW (405(g))
REAL PROPERTY	[] 442 EMPLOYMENT	[] 535 DEATH PENALTY	[] 730 LABOR/MGMT REPORTING & DISCLOSURE ACT	[] 864 SSID TITLE XVI
[] 210 LAND CONDEMNATION	[] 443 HOUSING/ ACCOMMODATIONS	[] 540 MANDAMUS & OTHER	[] 740 RAILWAY LABOR ACT	[] 865 RSI (405(g))
[] 220 FORECLOSURE	[] 444 WELFARE	PRISONER CIVIL RIGHTS	[] 790 OTHER LABOR LITIGATION	FEDERAL TAX SUITS
[] 230 RENT LEASE & EJECTMENT	[] 445 AMERICANS WITH DISABILITIES - EMPLOYMENT	[] 550 CIVIL RIGHTS	[] 791 EMPL RET INC SECURITY ACT	[] 870 TAXES (U.S. Plaintiff or Defendant)
[] 240 TORTS TO LAND	[] 446 AMERICANS WITH DISABILITIES -OTHER	[] 555 PRISON CONDITION	IMMIGRATION	[] 871 IRS-THIRD PARTY 26 USC 7609
[] 245 TORT PRODUCT LIABILITY	[] 440 OTHER CIVIL RIGHTS (Non-Prisoner)		[] 462 NATURALIZATION APPLICATION	[] 890 OTHER STATUTORY ACTIONS
[] 290 ALL OTHER REAL PROPERTY			[] 463 HABEAS CORPUS- ALIEN DETAINEE	[] 891 AGRICULTURAL ACTS
			[] 465 OTHER IMMIGRATION ACTIONS	[] 892 ECONOMIC STABILIZATION ACT
				[] 893 ENVIRONMENTAL MATTERS
				[] 894 ENERGY ALLOCATION ACT
				[] 895 FREEDOM OF INFORMATION ACT
				[] 900 APPEAL OF FEE DETERMINATION UNDER EQUAL ACCESS TO JUSTICE CONSTITUTIONALITY OF STATE STATUTES

Check if demanded in complaint:

CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23

DO YOU CLAIM THIS CASE IS RELATED TO A CIVIL CASE NOW PENDING IN S.D.N.Y.? IF SO, STATE:

DEMAND \$ _____ OTHER _____ JUDGE Denise Cote DOCKET NUMBER 11-mdl-02293

Check YES only if demanded in complaint
JURY DEMAND: YES NO

NOTE: Please submit at the time of filing an explanation of why cases are deemed related.

(PLACE AN x IN ONE BOX ONLY)

ORIGIN

- 1 Original Proceeding
 - 2 Removed from State Court
 - 3 Remanded from Appellate Court
 - 4 Reinstated or Reopened
 - 5 Transferred from (Specify District)
 - 6 Multidistrict Litigation
 - 7 Appeal to District Judge from Magistrate Judge Judgment
- a. all parties represented
- b. At least one party is pro se.

(PLACE AN x IN ONE BOX ONLY)

BASIS OF JURISDICTION

IF DIVERSITY, INDICATE CITIZENSHIP BELOW. (28 USC 1332, 1441)

- 1 U.S. PLAINTIFF
- 2 U.S. DEFENDANT
- 3 FEDERAL QUESTION (U.S. NOT A PARTY)
- 4 DIVERSITY

CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)

(Place an [X] in one box for Plaintiff and one box for Defendant)

CITIZEN OF THIS STATE	PTF []	DEF []	CITIZEN OR SUBJECT OF A FOREIGN COUNTRY	PTF []	DEF []	INCORPORATED and PRINCIPAL PLACE OF BUSINESS IN ANOTHER STATE	PTF []	DEF []
CITIZEN OF ANOTHER STATE	[]	[]	INCORPORATED or PRINCIPAL PLACE OF BUSINESS IN THIS STATE	[]	[]	FOREIGN NATION	[]	[]

PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES)

DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES)

DEFENDANT(S) ADDRESS UNKNOWN

REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN THE RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:

Check one: THIS ACTION SHOULD BE ASSIGNED TO: WHITE PLAINS MANHATTAN (DO NOT check either box if this a PRISONER PETITION/PRISONER CIVIL RIGHTS COMPLAINT.)

DATE 8/29/12 SIGNATURE OF ATTORNEY OF RECORD Robert L. Hubbard

RECEIPT #

ADMITTED TO PRACTICE IN THIS DISTRICT [] NO [X] YES (DATE ADMITTED Mon 10/19/82 Yr. 1982 Attorney Bar Code # RH3821)

Magistrate Judge is to be designated by the Clerk of the Court.

Magistrate Judge _____ is so Designated.

Ruby J. Krajick, Clerk of Court by _____ Deputy Clerk, DATED _____

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