

STATE OF NEW YORK OFFICE OF THE ATTORNEY GENERAL

LETITIA JAMES Attorney General DIVISION OF SOCIAL JUSTICE Environmental Protection Bureau

April 28, 2021

By UPS Next Day and E-Mail

The Hain Celestial Group, Inc. d/b/a Earth's Best Organic c/o Kristy Meringolo, Esq. 1111 Marcus Ave Lake Success, NY 11042

Re: The Hain Celestial Group, Inc.'s Infant Rice Cereal Products Distributed for Sale to Consumers in the State of New York

Dear Kristy Meringolo:

The Office of the New York Attorney General (OAG) has reason to believe that The Hain Celestial Group, Inc. d/b/a Earth's Best Organic ("Hain") is distributing and/or has distributed one or more infant rice cereal products for sale to consumers in New York State that may be adulterated within the meaning of New York State Agriculture & Markets Law §§ 199-a, 200(1) and 202, because of the amount of inorganic arsenic, a toxic substance that is harmful to humans. Additionally, Hain's advertisements and other materials promoting any such product may violate New York General Business Law §§ 349 and 350. If Hain's conduct is or was unlawful under New York law, that conduct also would constitute repeated or persistent fraud or illegality in the transaction of business subject to investigation and enforcement by the OAG pursuant to New York State Executive Law § 63(12).

To aid the OAG's examination of the facts relevant to this matter, please provide the undersigned with the following information regarding the period of time from January 1, 2015 to the present. Please provide the requested information on or before May 28, 2021.

1. <u>Testing Practices and Policies for Inorganic Arsenic in Rice Cereal Products</u>. Documents sufficient to understand Hain's practices, policies and/or standards regarding testing for inorganic arsenic in its infant rice cereal products sold in the State of New York. The Hain Celestial Group, Inc. Page 2 April 28, 2021

- 2. <u>Testing Practices and Policies for Inorganic Arsenic in Ingredients and Additives</u>. Documents sufficient to understand Hain's practices, polices and/or standards regarding testing for inorganic arsenic in the ingredients and additives (including incidental additives), and any vitamin and mineral mixes, contained within Hain's infant rice cereal products sold in the State of New York.
- 3. <u>Practices and Policies Concerning Product Safety</u>. Documentation and communications, within Hain and with external parties, concerning the following:
 - a. Why and under what conditions Hain tests or tested its final products or the ingredients/additives that comprise its final products;
 - b. How Hain responds to the results generated from testing described in request 3a.
 - c. Any internal limits, standards, goals, and/or thresholds ("limits") Hain applies or applied concerning inorganic arsenic levels in its final infant rice cereal products or the ingredients/additives that comprise its final products, and how any of these limits affect any decisions concerning its infant rice cereal products;
 - d. Under what conditions Hain discontinues, recalls, or otherwise removes from the market its products due to health or safety concerns;
 - e. Under what conditions Hain withholds a product in development from the market due to health or safety concerns; and
 - f. Under what conditions Hain chooses to cease use of any ingredient or additive due to health or safety concerns.
- 4. <u>Testing Results for Inorganic Arsenic in Rice Cereal Products</u>. Copies of all analytical results from tests of inorganic arsenic content in Hain's infant rice cereal products of the type sold in the State of New York.
- 5. <u>Testing Results for Inorganic Arsenic in Ingredients and Additives</u>. Copies of all analytical results from tests of inorganic arsenic in any ingredient or additive (including incidental additives) and any vitamin and mineral mixes, of the type contained in Hain's infant rice cereal products of the type sold in the State of New York.

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- 6. <u>Laboratory Information</u>. A spreadsheet with the names and addresses of all laboratories that Hain has used to carry out testing for inorganic arsenic in Hain's infant rice cereal final products and/or in ingredients that Hain used in the manufacture of its infant rice cereal products of the type sold in the State of New York.
- 7. <u>Products</u>. Please provide a list of all Hain infant rice cereal food products by name and Universal Product Code, accompanied by an image of the front of the product container and an image of the product's nutrition facts label, of the type sold in the State of New York. If Hain has discontinued an infant rice cereal product during the specified time period, please identify the date that the product was discontinued and the reason(s) for the discontinuance.
- 8. <u>Rice-Based Product Ingredients</u>. For each infant rice cereal product identified by Hain in its response to request no. 7 above, a list of all ingredients and additives (including incidental additives) and any vitamin and mineral mixes (whether organic or synthetic) that Hain used and/or uses in the manufacture of the product. If the ingredients or additives for a given product changed, please explain the change(s).
- 9. <u>Top Retail Distribution Channels</u>. A list of the top five retail distribution channels for Hain's infant rice cereal products sold in the State of New York for each of the years ending December 31, 2015 through 2020.
- 10. <u>Product Recalls</u>. Documentation and communications relating to any recalls or proposed recalls, whether initiated by Hain or directed by any authority, of any of Hain's infant or baby food products sold in the State of New York.
- 11. <u>Public Information</u>. How, if at all, Hain informs consumers or the public of the presence and/or level of inorganic arsenic in its infant rice cereal products.
- 12. <u>Reduction of Inorganic Arsenic in Rice Cereal</u>. Documents sufficient to understand any effort by Hain to reduce or eliminate inorganic arsenic from its infant rice cereal products, or their ingredients, including any manufacturing methods.
- 13. FDA Testing Result Investigation.
 - a. All documentation and communication concerning the FDA Testing Result Investigation referenced in the August 1, 2019 Hain presentation found online at <u>https://oversight.house.gov/sites/democrats.oversight.house.gov/files/2.pdf</u>.
 - b. All documentation and communication concerning any actions taken by Hain relating to the "Action Items" described by Hain on page "Hain-000163" of its

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August 1, 2019 presentation found online at <u>https://oversight.house.gov/sites/democrats.oversight.house.gov/files/2.pdf</u>.

- 14. <u>Advertising</u>. All documents constituting Hain's advertising or other promotion of its infant rice cereal products in the State of New York and/or nationally. Please include screenshots of any descriptions of Hain's infant rice cereal products on the company's website; any company advertisements referring to its infant rice cereal products placed in print, billboards and other physical media, television, radio, or online media; and any other materials describing any attribute of Hain's infant rice cereal products to the general consuming public.
- 15. <u>Communications with FDA</u>. All communications, including electronic communications and presentations, between Hain and any employee or representative of the U.S. Food and Drug Administration regarding inorganic arsenic in infant rice cereal.
- 16. <u>Communications with New York State Agencies</u>. All communications, including electronic communications and presentations, between Hain and any representative of any New York State agency (including, without limitation, the New York State Department of Agriculture and Markets, or the New York State Department of Health) concerning inorganic arsenic in infant rice cereal.
- 17. <u>House Subcommittee: Documents and Communications</u>. All materials provided by Hain to the U.S. House Representatives Committee on Oversight and Reform's Subcommittee on Economic and Consumer Policy, whether referenced in the House Report or not, even if the information overlaps with other Requests herein; and any correspondence between Hain and the Subcommittee.
- 18. <u>Inorganic Arsenic Health Effects Research</u>. Any research, studies, or analysis reviewed or conducted by Hain or any of its employees or contractors, concerning the health effects of inorganic arsenic exposure on infants, toddlers or children.
- 19. <u>Research on Reducing Inorganic Arsenic in Rice-Based Food</u>. Any research, studies, or analysis reviewed or conducted by Hain or any of its employees or contractors, or in the possession of Hain, concerning agricultural and/or industrial methods for reducing the inorganic arsenic content in rice and rice-based food products (including, but not limited to, infant rice cereal).

This Office reserves the right to supplement the above requests for information. This Office also reserves the right to serve investigatory subpoenas pursuant to Executive Law 63(12) on Hain or any person or entity who may have information pertinent to this matter.

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We thank Hain for its anticipated cooperation. Should you have any questions, please contact the undersigned at 212-416-8479 or <u>samantha.liskow@ag.ny.gov</u>. This is also the e-mail address to which Hain should direct its responses to the above requests.

Very truly yours,

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Assistant Attorney General