New York State Office of the Attorney General
Eric T. Schneiderman
Bureau of Investor Protection and Securities
120 Broadway
New York, New York 10271
(212) 416-8200 (phone)
(212) 416-8816 (fax)

COMPLAINT FORM

Please answer all questions and return to this office. Attach copies of any documents which may be pertinent to your complaint.

YOUR NAME: _____

ADDRESS: _____

HOME PHONE: _____ BUS. PHONE _____

Company Name: _____

Address: _____ Phone: _____

Salesperson's name: _____

Date of transaction _____ Nature of investment _____

Total amount of investment $ _____

Did you complain to the company? _____ When? _____

To Whom? _____

Do you have an attorney? _____ Is there a court action

pending? _____

Did you receive the security you purchased? _____

FULLY EXPLAIN THE NATURE OF YOUR COMPLAINT:

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Signature _____ Date _____

Complaint taken by _____ ( ) Mailed in

V.N. 12/10