



TOWN OF CARROLL
CODE OF ETHICS RESOLUTION

A resolution relating to a code of ethics and a board of ethics for the Town of Carroll.

Be it resolved by the board of the Town of Carroll as follows:

ARTICAL I

INTENT OF TOWN BOARD

Section 1. Statement of legislative intent. The town board of the Town of Carroll recognizes that there are state statutory provisions mandating town to establish rules and standards of ethical conduct for public officers and employees which, if observed, can enhance public confidence in local government. In the light of a tendency today on the part of some people to down-grade our local government and to discredit our public servants and our free institutions generally, it appears necessary that every effort be made to assure the highest caliber of public administration system of local government. It is the purpose of this resolution to implement this objective through the establishment of standards of conduct, to provide for punishment of violation of such standards and to create a board of ethics to render advisory opinions to the towns officers and employees as provided for herein.

Section 2. The standards, prohibited acts and procedures established herein are in addition to any prohibited acts, conflicts of interest provisions or procedures prescribed by statute of the State of New York and also in addition to common law rules and judicial decisions relating to the conduct of the town officers to the extent that the same are more severe in their application than this resolution.

ARTICLE II

CODE OF ETHICS

Section 1. Definitions. As used in this resolution, the term "town" shall mean any board, commission, district, council or other agency department or unit of the government of the Town of Carroll.

The term "town employee" shall mean any officer or employee of the Town of Carroll whether paid or unpaid, whether serving in a full-time or part-time or advisory capacity.

Section 2. Rule with respect to conflicts of interest. No town employee shall have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his duties in the public interest.

Section 3. Standards. A. No town employee shall accept other employment which will impair his independence of judgment on the exercise of his official duties.

B. No town employee shall accept employment or engage in any business or professional activity which will require him to disclose confidential information which he has gained by reason of his official position or authority.

C. No town employee shall use or attempt to use his official position to secure unwarranted privileges or exemptions for himself or others.

D. No town employee shall engage in any transaction as representative or agent of the town with any business entity in which he has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his official duties.

E. A town employee shall not by his conduct give reasonable basis for the impression that any person can improperly influence him or unduly enjoy his favor in the performance of his official duties, or that he is affected by the kinship, rank, position or influence of any party or person.

F. Each town employee shall abstain from making personal investments in enterprises which he has reason to believe may be directly involved in decisions to be made by him or which will otherwise create substantial conflict between his duty in the public interest and his private interest.

G. Each town employee shall endeavor to pursue a course of conduct which will not raise suspicion among the public that he is likely to be engaged in acts that are in violation of his trust.

H. No town employee employed on a full time basis nor any firm or association of which such employee is a member nor corporation a substantial portion of stock of which is owned or controlled directly or indirectly by such employee, shall sell goods or services to any person, firm, corporation or association which is licensed or whose rates are fixed by the town in which such employee serves or is employed.

I. Each town employee shall, to the extent that he is cognizant thereof, disclose any interest he may have in legislation before the town board.

J. No town employee within 2 years after termination of his service or employment with the town shall accept employment which will involve contacts with the town which can work to his special advantage by virtue of his prior contact and relationship with the town.

Section 4. Violations. In addition to any penalty contained in any other provision of law, any such town employee who shall knowingly and intentionally violate any of the provisions of this resolution may be fined, suspended or removed from office or employment in the manner provided by law.

ARTICLE III

BOARD OF ETHICS

Section 1. There is hereby established a board of ethics consisting of three members to be appointed by the town board, all of whom will reside in the Town of Carroll and who shall serve without

compensation and at the pleasure of the town board of the Town of Carroll. A majority of such member shall be persons other than town employees but shall include at least one member who is an elected or appointed town employee of Town of Carroll.

Section 2. The board of ethics established hereunder shall render advisory opinions to town employees on written request and upon request of the town board make recommendations to such town board as to any amendments of this resolution. The opinions of the board of ethics shall be advisory and confidential and in no event shall the identity of the town employee be disclosed except to authorized persons and agencies. Such opinions shall be on the advice of counsel employed by the board of ethics, or if none of the town attorney.

Section 3. Such board of ethics upon its formation shall promulgate its own rules and regulations as to its form and procedures and shall maintain appropriate records of its opinions and proceedings.

ARTICLE IV

ADMINISTRATION

Section 1. Upon the adoption of this resolution, the town supervisor shall cause a copy thereof to be distributed to every town employee of this town. Failure to distribute any such copy or failure of any town employee to receive such copy shall have no effect on the duty of compliance with this code, nor the enforcement of provisions hereof. The town supervisor shall further cause a copy of this resolution to be kept posted conspicuously in each public building under the jurisdiction of the town. Failure to so post this resolution shall have no effect on the duty of compliance herewith, nor the enforcement provisions hereof.

Section 2. Within thirty days of the adoption of this resolution, the town clerk shall file a copy thereof in the office of the state comptroller.

Section 3. The town board may appropriate moneys from the general town funds for maintenance of and of personnel services to the board of ethics established hereunder, but such board of ethics may not commit the expenditure of town moneys except within the appropriations provided herein.

ARTICLE V

SEVERABILITY CLAUSE

Section 1. If any clause, sentence, paragraph, section or part of this resolution shall be adjudged by any court of competent jurisdiction

to be invalid, such judgment shall not affect, impair or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, section or part thereof directly involved in the controversy in which such judgment shall have been rendered.

ARTICLE VI
EFFECTIVE DATE

Section 1. This resolution shall take effect immediately.

Adopted: November 5, 1970

Town Board

Town of Carroll